

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **Oswal Agro Mills Limited**
- 2 Scrip Code/Name of Scrip/Class of Security : Equity
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter Ended 31.12.2019
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholder s (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class :Equity	Class eg:y	Total								
(A)	Promoter & Promoter Group	3	56033682	0	0	56033682	41.740	56033682	0	56033682	41.740	0	41.740	0	0.000	0	0.000	56033682
(B)	Public	193707	78201094	0	0	78201094	58.260	78201094	0	78201094	58.260	0	58.260	0	0.000	35382	0.050	54301964
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TOTAL	193710	134234776	0	0	134234776	100.000	134234776	0	134234776	100.000	0	100.000	0	0.000	35382	0.050	110335646

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholder s (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class: Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		1	56026460	0	0	56026460	41.740	56026460	0	56026460	41.740	0	41.740	0	0.000	0	0.000	56026460
	ARUNA OSWAL	AAGPO8748M	1	56026460	0	0	56026460	41.740	56026460	0	56026460	41.740	0	41.740	0	0.000	0	0.000	56026460
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other																		
	Bodies Corporate		2	7222	0	0	7222	0.010	7222	0	7222	0.010	0	0.010	0	0.000	0	0.000	7222
	OSWAL GREENTECH LIMITED	AAACO1665L	1	6222	0	0	6222	0	6222	0	6222	0.000	0	0.000	0	0.000	0	0.000	6222
	P C MEDIA SYSTEMS LIMITED	AAACP4549A	1	1000	0	0	1000	0	1000	0	1000	0.000	0	0.000	0	0.000	0	0.000	1000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		3	56033682	0	0	56033682	41.740	56033682	0	56033682	41.740	0	41.740	0	0.000	0	0.000	56033682
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	56033682	0	0	56033682	41.740	56033682	0	56033682	41.740	0	41.740	0	0.000	0	0.000	56033682
Details of Shares which remain unclaimed may be given here along with details such as number of Shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange.																			
(2) The term 'Emcunbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																			

Details of Shares which remain unclaimed may be given here along with details such as number of Shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

NOTE:

(1) PAN would not be displayed on website of Stock Exchange.

(2) The term 'Emcunbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class Equity	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds		6	15200	0	0	15200	0.010	15200	0	15200	0.010	0	0.010	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		1	252018	0	0	252018	0.190	252018	0	252018	0.190	0	0.190	0	0.000	0	0.000	252018
(f)	Financial Institutions/Banks		50	510602	0	0	510602	0.380	510602	0	510602	0.380	0	0.380	0	0.000	216	0.000	425293
(g)	Insurance Companies		3	2984450	0	0	2984450	2.220	2984450	0	2984450	2.220	0	2.220	0	0.000	0	0.000	2984450
	LIFE INSURANCE CORPORATION OF INDIA	AAACLO582H	1	2693170	0	0	2693170	2.010	2693170		2693170	2.010	0	2.010	0	0.000	0	0.000	2693170
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		60	3762270	0	0	3762270	2.800	3762270	0	3762270	2.800	0	2.800	0	0.000	216	0.000	3661761
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions																		
(a)	Individuals																		
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		190501	39658316	0	0	39658316	29.540	39658316	0	39658316	29.540	0	29.540	0	0.000	9870	0.010	22515890
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		160	10322551	0	0	10322551	7.690	10322551	0	10322551	7.690	0	7.690	0	0.000	25000	0.020	10142101
(b)	NBFCs registered with RBI		2	30400	0	0	30400	0.020	30400	0	30400	0.020	0	0.020	0	0.000	0	0.000	30400
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	NA	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	NA	0
(e)	Any Other																		
	Bodies Corporate		789	20928750	0	0	20928750	15.590	20928750	0	20928750	15.590	0	15.590	0	0.000	296	0.000	14687363
	Tushar Holdings Limited	AAACT0522H		4628510	0	0	4628510	3.450	4628510	0	4628510	3.450	0	3.450	0	0.000	0	0.000	4628510
	River Finance Limited	AAACR3784P		3339971	0	0	3339971	2.490	3339971	0	3339971	2.490	0	2.490	0	0.000	0	0.000	3339971
	Jalaveg Investment & Trading Company Ltd	AABCJ3863E		3311575	0	0	3311575	2.470	3311575	0	3311575	2.470	0	2.470	0	0.000	0	0.000	3311575
	S K A Holding Limited	AAACS4747F		2904967	0	0	2904967	2.160	2904967	0	2904967	2.160	0	2.160	0	0.000	0	0.000	0
	Denver Trading Company Ltd	AAACD3475B		1343097	0	0	1343097	1.000	1343097	0	1343097	1.000	0	1.000	0	0.000	0	0.000	0
	Non-resident Indians		1315	905457	0	0	905457	0.670	905457	0	905457	0.670	0	0.670	0	0.000	0	0.000	671149
	Resident Indian HUF		848	2529382	0	0	2529382	1.880	2529382	0	2529382	1.880	0	1.880	0	0.000	0	0.000	2529332
	Trusts		2	23430	0	0	23430	0.020	23430	0	23430	0.020	0	0.020	0	0.000	0	0.000	23430
	Clearing Members		30	40538	0	0	40538	0.030	40538	0	40538	0.030	0	0.030	0	0.000	0	0.000	40538
	Others		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0.000	0.000	0
	IEPF		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0.000	0.000	0
	Sub-Total (B)(3)		193647	74438824	0	0	74438824	55.450	74438824	0	74438824	55.450	0	55.450	0	0.000	35166	0.030	50640203
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		193707	78201094	0	0	78201094	58.260	78201094	0	78201094	58.260	0	58.260	0	0.000	35382	0.030	54301964

Details of Shares which remain unclaimed may be given here along with details such as number of Shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on Website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Custodian/ DR Holder	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA		0
(2)	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014	0	0	0	0	0	0	0.000	0	0	0		0	0.000	0	0.000	NA		0
	Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2)	0	0	0	0	0	0	0.000	0	0	0		0	0.000	0	0.000	0.000		0

Note:

(1) PAN would not be displayed on Website of Stock Exchange(s)

The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(2)

Table V - Statement Showing Details of Significant beneficial Owners (SBOs)

Sr.No.	Details of the SBO			Details of the Registered Owner			Details of Holding/ exercise of right of the SBO in the reporting company, Whether direct or Indirect			Date of creation / acquisition of significant beneficial interest
	(I)			(II)			(III)			(IV)
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Whether by Virtue of :			
							Shares		%	
							Voting Rights		%	
							Rights of distributable dividend or any other distribution		%	
							Exercise of control			
							Exercise of significant influence			
NIL										

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.